

Comparison of Investment Services Forsyth Barr Private Client Services

Forsyth Barr offers a range of private client investment services. The table below summarises the key features of these. For more information on a service and its principal benefits and risks, read the relevant Scope of Service document. Your Forsyth Barr Authorised Financial Adviser can also provide you with more information, please contact them on 0800 367 227.

	Investment Transaction Service (ITS)	Investment Advisory Service (IAS)	Premium Advisory Service (PAS)	Private Portfolio Management Service (PPM)
Transacting in shares and other securities at your instruction	Yes	Yes	Yes	No – we make the investment decisions for you
Access to Forsyth Barr Cash Management	Yes	Yes	Yes	Yes
Access to Forsyth Barr and third party research	Yes*	Yes*	Yes	Yes
Financial advice on your investments	No	Advice when you ask for it, but based on your selected investment profile** and current investments, as made known to us by you	Yes, we advise you on your investment portfolio based on investment objectives agreed with you	Based on an assessment of your financial situation and goals, we advise you on appropriate investment objectives for your investment portfolio
Safe custody of, and reporting on, your investment portfolio	No	No	Yes	Yes
Ongoing monitoring of your portfolio	No	No	Yes	Yes
Year-end tax reporting	No	No	Yes	Yes
Who makes the Investment decisions?	You do	You do	You do	We do, based on the agreed investment objectives
Regular fees***	No	No	A quarterly portfolio fee	A quarterly portfolio fee

If you are seeking to open a new Summer KiwiSaver scheme account, or transfer your existing KiwiSaver account to the Summer KiwiSaver scheme, please refer to our 'Scope of Service - KiwiSaver Advice' document which can be viewed on our website at www.forsythbarr.co.nz/sos.

* Subject to minimum transaction levels.

** You can select the investment profile based on a standard questionnaire that asks about your investing experience, investment horizon and attitude to risk. Or, you can just choose the investment profile based on your personal view of the appropriate level of risk for your investments.

*** Please see the Scope of Service for information on other applicable fees, such as brokerage fees and other trading expenses.

The Summer KiwiSaver scheme is managed by Forsyth Barr Investment Management Limited. You can get a copy of the product disclosure statement at www.summer.co.nz or by calling 0800 11 55 66. Forsyth Barr does not provide legal services, trust or estate planning services, tax or accounting advice, advice on insurance or financial planning services. Disclosure statements for Forsyth Barr Authorised Financial Advisers are available on request and free of charge.